



PATENT  
454311-2200.1

Sector  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : HANES ET AL.  
Serial No. : 09/507,242  
Filed : FEBRUARY 18, 2000  
For : ***CaESSI: A CANDIDA ALBICANS GENE, METHODS FOR MAKING AND USING, AND TARGETING IT AND ITS EXPRESSION PRODUCTS FOR ANTIFUNGAL APPLICATIONS***

745 Fifth Avenue  
New York, New York 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents Washington, DC 20231, on May 19, 2000

THOMAS J. KOWALSKI, Reg. No. 32,147

Name of Applicant, Assignee or Registered Representative

Signature

19 May 2000

Date of Signature

COMMUNICATION

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

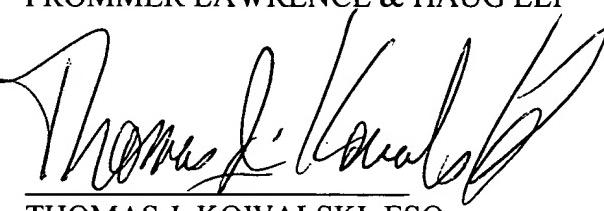
In response to the April 26, 2000 Notice to File Missing Parts, enclosed is a copy of the Communication, executed Declaration, Recordation Form Cover Sheet and Assignment, Check No. 9411 in the amount of \$130.00 to cover the required surcharge fee and, Check No. 9412 in the amount of \$1316.00 to cover the \$690.00 basic filing fee, plus \$78.00 for one independent claim in excess of three; plus \$260.00 for the multiple

dependent claim fee and \$288.00 for the fee for 16 claims in excess of 20 claims (via multiple dependency) and Check No. 9417 in the amount of \$40.00 to cover the filing of the Assignment, which were first class mailed to the PTO on March 14, 2000.

Please charge any additional fees required or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

  
THOMAS J. KOWALSKI, ESQ.  
Reg. No. 32,147  
(212) 588-0800



Serial No. 09/507,242 File No. 454311-2000.1 By TJK  
Title In the Matter of the Application of Hanes et al.

The following due \_\_\_\_\_ in the U.S. Patent Office, was received in the Patent Office

- Affidavit  Declaration  
 Amendment  
 Preliminary Amendment  
 Amendment After Final Rejection  
 Request for Extension of Time  
 Provisional Patent Application  
 Application for Patent, including  
    — Pages Specification \_\_\_\_\_ Claims  
 Declaration  Oath  Power  
 Request for Filing Continuation or Divisional  
    Application \_\_\_\_\_ sheets, in duplicate  
 File Wrapper Continuation Patent Application  
    \_\_\_\_\_ sheets, in duplicate  
 PCT Request \_\_\_\_\_ sheets, including  
 Transmittal Letter to the US/RO  
 Assignment  Recordation Cover Sheet
- Express Mail Mailing Certificate \$1930.00  
 (separate sheet) 94411 94410 \$1,310.00  
 Check No. 9442 94417 for \$ 40.00  
 Deposit Account Order Form  
 Drawing \_\_\_\_\_ Sheet(s)  
 Information Disclosure Statement  
 PTO Form 1449  
 Issue Fee Transmittal MAR 20 2000  
 Brief  Letter  
 Application for TM Registration  
    Including \_\_\_\_\_ Specimens  
 Status Request  Notice of Appeal  
 Petition  Response  
 Priority Document  
 Small Entity Declaration

mailed 1st class on 3/14/00 communication

009412

## FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10151

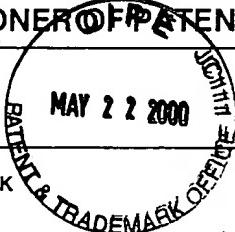
March 14, 2000

1-1-210 428

PAY TO THE  
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 1,316.00



THE SUM OF \$1,316.00

DOLLARS

THE BANK OF NEW YORK  
575 MADISON AVENUE  
NEW YORK, NY 10022

FROMMER LAWRENCE &amp; HAUG LLP

CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

*Barbara J. Manning*

#009412# 1021000018# 6301147455#

## DETACH AND RETAIN THIS STATEMENT

FROMMER LAWRENCE &amp; HAUG LLP

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT	Hanes et al		
SERIAL NO.	09/507,242	FL & H DOCKET NO.	454311-2200.1
TITLE	CaESSI: A Canida Albicans Gene, Methods for Making and Using, and Targeting it and its Expression Products for Antifungal Applications		
<input type="checkbox"/> APPEAL BRIEF <input type="checkbox"/> APPEAL FEE <input type="checkbox"/> CERTIFICATE OF CORRECTION <input type="checkbox"/> DISCLAIMER <input type="checkbox"/> EXTENSION OF TIME <input type="checkbox"/> FEE FOR ADDED CLAIMS <input checked="" type="checkbox"/> FILING FEE <input type="checkbox"/> ISSUE FEE <input type="checkbox"/> MAINTENANCE FEE <input type="checkbox"/> MISSING PARTS <input type="checkbox"/> NOTICE OF OPPOSITION <input checked="" type="checkbox"/> PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320		<input type="checkbox"/> PETITION FEE <input type="checkbox"/> PETITION FOR CANCELLATION <input type="checkbox"/> PETITION TO REVIVE <input type="checkbox"/> RECORDING FEE <input type="checkbox"/> REQUEST FOR ORAL HEARING <input type="checkbox"/> SEC. 8 TM DECLARATION FEE <input type="checkbox"/> STATEMENT OF USE <input type="checkbox"/> TM RENEWAL <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

## CHARGE TO

NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT
Hanes	454311-2200.1	TJK	1150.00 1316 -

009411

FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10151

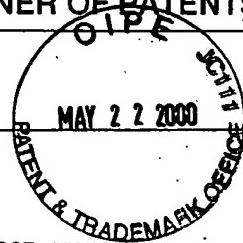
March 14, 2000

1-1-210 428

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\$ 130.00



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NEW YORK, NY 10022

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*Bethany Momy*

#009411# 1021000018# 6301147455#

## DETACH AND RETAIN THIS STATEMENT

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.APPLICANT Hanes et al.SERIAL NO. 09/507,242FL & H DOCKET NO. 454311-2200.1TITLE CaESS1: A Canida Albicans Gene, Methods for Making and Using, and Targeting it and its Expression Products for Antifungal Applications

- |   |  |
|---|--|
| <input type="checkbox"/> APPEAL BRIEF   | <input type="checkbox"/> PETITION FEE              |
| <input type="checkbox"/> APPEAL FEE   | <input type="checkbox"/> PETITION FOR CANCELLATION |
| <input type="checkbox"/> CERTIFICATE OF CORRECTION  | <input type="checkbox"/> PETITION TO REVIVE        |
| <input type="checkbox"/> DISCLAIMER   | <input type="checkbox"/> RECORDING FEE             |
| <input type="checkbox"/> EXTENSION OF TIME  | <input type="checkbox"/> REQUEST FOR ORAL HEARING  |
| <input type="checkbox"/> FEE FOR ADDED CLAIMS   | <input type="checkbox"/> SEC. 8 TM DECLARATION FEE |
| <input checked="" type="checkbox"/> FILING FEE  | <input type="checkbox"/> STATEMENT OF USE          |
| <input type="checkbox"/> ISSUE FEE  | <input type="checkbox"/> TM RENEWAL                |
| <input type="checkbox"/> MAINTENANCE FEE  | <input checked="" type="checkbox"/> Claims         |
| <input type="checkbox"/> MISSING PARTS  | <input type="checkbox"/>                           |
| <input type="checkbox"/> NOTICE OF OPPOSITION   | <input type="checkbox"/>                           |
| <input checked="" type="checkbox"/> PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320 |  |

## CHARGE TO

NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT
Hanes	454311-2200.1	TJK	130 00

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10019

20

1-210428

PAY TO THE ORDER OF **COMMISSIONER OF PATENTS AND TRADEMARKS**

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**THE SUM: \$400.00**

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575 MADISON AVENUE  
NEW YORK, NY 10022

CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

FROMMER LAWRENCE & HAUG LLP.

*Thomas J. Haug Jr.*



MAY 22 2000

U.S. PATENT & TRADEMARK OFFICE  
BUREAU OF TRADEMARKS

100 94 170 10000181 06 30 114 7455

FROMMER LAWRENCE & HAUG LLP

**DETACH AND RETAIN THIS STATEMENT**

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.

IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT:

*FAMES ET AL*

SERIAL NO:

*09/507,242*

FL & H DOCKET NO. *454311-2200.1*

TITLE:

*Cassette: A CANDIDA ALBicans GENE, METHODS FOR*

- APPEAL BRIEF
- APPEAL FEE
- CERTIFICATE OF CORRECTION
- DISCLAIMER
- EXTENSION OF TIME
- FEE FOR ADDED CLAIMS
- FILING FEE
- ISSUE FEE
- MAINTENANCE FEE
- MISSING PARTS
- NOTICE OF OPPOSITION

PETITION FEE

PETITION FOR CANCELLATION

PETITION TO REVIVE

RECORDING FEE

REQUEST FOR ORAL HEARING

SEC. 8 TM DECLARATION FEE

STATEMENT OF USE

TM RENEWAL

PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320.

CHARGE TO

NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT
<i>Merical</i>	<i>454311-2200.1</i>	<i>T. Frankeloff</i>	<i>\$40.</i>

ACCOUNTING COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : HANES ET AL.  
Serial No. : 09/507,242  
Filed : FEBRUARY 18, 2000  
: **CaESSI: A CANDIDA ALBICANS GENE, METHODS  
FOR MAKING AND USING, AND TARGETING IT  
AND ITS EXPRESSION PRODUCTS FOR  
ANTIFUNGAL APPLICATIONS**



745 Fifth Avenue  
New York, New York 10151

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the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner For Patents  
Washington, DC 20231, on *14 March 2000*

THOMAS J. KOWALSKI, Reg. No. 32,147

Name of Applicant, Assignee or Registered Representative  
*Thomas J. Kowalski*  
Signature  
Date of Signature  
*14 March 2000*

COMMUNICATION

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

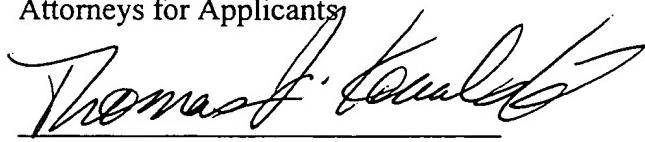
Attached is the original executed inventors' declaration. Also enclosed is Check No. 9411 for \$130.00 to cover the required surcharge fee and Check No. 9412 for \$1316.00 to cover the \$690.00 basic filing fee, plus \$78.00 for one independent claim in excess of three; plus \$260.00 for the multiple dependent claim fee and \$288.00 for the fee for 16 claims in excess of 20 claims (via multiple dependency).

This Communication is being filed without a copy of the Notice to Filing Missing Parts because that document has not yet been received by applicants' counsel. If such a Notice is mailed by the PTO before this Communication is processed, it is requested that this Communication be considered as responsive to the Notice to Filing Missing Parts.

Please charge any additional fees required or credit any overpayment fees, to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants



Thomas J. Kowalski  
Thomas J. Kowalski  
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(212) 588-0800